



The National Center on
Addiction and Substance Abuse

TOGETHER WE CAN CHANGE THE STORY OF ADDICTION



The National Center on
Addiction and Substance Abuse

ANNUAL REPORT 2015

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OUR MISSION

Our mission is to connect science with policy and practice to better the lives of all people impacted by addiction and substance abuse. Our pioneering work and collaborative partnerships are grounded in a vision of finding lasting solutions that free communities from the devastation of substance use and addiction.

The National Center on Addiction and Substance Abuse is uniquely positioned to convene, inform, and lead diverse groups who have various stakes in health care reform and the ability to change the way the country treats and prevents the devastating public health crisis that is addiction.

WE HOPE YOU WILL JOIN US IN THIS CHARGE.



Models depicted.

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MESSAGE FROM THE CHAIRMAN



Jeffrey B. Lane

Dear Friends:

As I reflect upon 2015, I am very proud of all that our team at The National Center on Addiction and Substance Abuse has accomplished. For the 40 million Americans suffering from addiction, substance abuse, and the mental and physical health problems they accompany, our work could not be more critical.

Addiction causes or contributes to countless social consequences, medical conditions, overdose deaths, and costly health and justice expenditures, but abundant evidence suggests that implementing research-based policies and practices can make a substantial difference. Under Dr. Samuel Ball's leadership in 2015, the Center built partnerships with national leaders in addiction and substance abuse, informed public health policy and encouraged effective, evidence-based interventions. Driven by our long-standing commitment to develop proactive solutions to the addiction crisis in the United States and beyond, the Center also broadened its scope of work this past year, looking at other forms of destructive behavioral addictions, such as those involving gambling and food.

Notably, the Center worked on and released two inspiring reports: *Guide for Policymakers: Prevention, Early Intervention and Treatment of Risky Substance Use and Addiction*, and *Understanding and Addressing Food Addiction: A Science-Based Approach to Policy, Practice and Research*.

The *Guide for Policymakers* is a tool of unprecedented breadth and depth that summarizes those policies and practices that have proven most effective at addressing risky substance use and addiction at the federal, state, and local levels. It contains resources and references for policymakers, advocates and administrators in both the public and private sectors and covers the timely issues of marijuana legalization, regulation of alternative nicotine products like e-cigarettes, health care reform and medication-assisted treatment for opioid addiction.

Our *Understanding and Addressing Food Addiction* report highlights how the knowledge and experience gained from years of substance use research and work in substance abuse prevention, intervention and policy might be applied to controlling unhealthy eating and the food environment that contributes to it. It offers evidence-based recommendations for policy, prevention,

health care practice, and research to help reduce the deadly and costly health consequences of unhealthy eating, and informative resources for further examination of this evolving area of study.

In the coming year, keep an eye out for several additional evidence-based tools that translate the most valid and reliable research findings into practical and effective measures.

We remain committed to understanding the science of addiction and translating this science into health care practice, public policy, and public understanding. As such, we believe the next 5 years represent a unique window of great opportunity to truly change outcomes for the people affected by this disease. The U.S. has embarked on a major health care reform initiative that seeks to integrate substance abuse treatment into mainstream medical care. The Mental Health Parity and Addiction Equity Act requires comparable reimbursement when behavioral health and physical health conditions are covered. However, the enforcement of this Act must be strengthened to reduce discrimination and increase the delivery of evidence-based prevention and treatment programs. As we look to the year ahead, we see the tremendous opportunity presented by these changes in our nation's health care delivery.

No organization is more uniquely positioned to lead this call. Our Center's combined ability to identify the most relevant data, craft actionable recommendations grounded in scientific research, evaluate the efficacy of prevention and treatment methods, and communicate those results to key stakeholders is not only vital, but unparalleled. If we take advantage of this critical period of change and act now, we can vastly improve the systems available to fight substance abuse and addiction.

We know that there is so much more work to do to stop the devastating effects of this disease, but we are optimistic. With your support and the network of our pioneering academic and community partnerships (see page 23), we will continue to conduct the research and analysis that inform and influence prevention and treatment programs, drug policies, and attitudes about this disease. We hope you will join us in this life-saving work.

MESSAGE FROM THE PRESIDENT



Samuel A. Ball, PhD

Thank you for your support and interest in The National Center on Addiction and Substance Abuse. I write this message on the day the Centers for Disease Control and Prevention announced new physician guidelines for prescribing opioid medications for pain management. Organizations like ours have advocated for many years for these and other strategies to stop the opioid epidemic. Prescription pain medication and heroin addiction, overdose, and deaths have been serious problems in our country for many years. As the crisis has expanded to suburban and urban communities, it has generated significant media and political attention. The tragedies that families of all ethnicities and socioeconomic backgrounds have experienced are devastating beyond words.

These and other national initiatives connect to several truths about addiction that define much of our Center's work:

- 1) The health care system's essential role in **reducing risk** for substance abuse and **improving treatment** for addiction;
- 2) the provider's responsibility to learn and adhere to **best prevention and treatment practices**; and
- 3) the addicted person's and family's **right to access effective and affordable care** for a disease that can be chronic and deadly.

Tighter restrictions on prescription opioids is only one change that is long overdue. A comprehensive model of prevention, early detection, and intervention is needed – and one that especially targets the problem when it first appears in adolescence. Families, school systems, and pediatricians must renew their commitment to preventing early exposure to alcohol, tobacco, and illicit and prescription drugs. Primary medical care providers must routinely screen for substances and provide brief intervention or referral to treatment. Providers specializing in addiction treatment must deliver psychotherapies and medications shown by research to be effective. Insurers must reimburse mental health and addiction treatments the same as any other chronic, potentially deadly, medical disease.

Our Center is actively engaged in major initiatives to impact these critical areas of change (see "Our Current Projects"). Significant planning has occurred in the areas of prevention, and this year we intend to launch an evaluation of school-based prevention efforts, an expanded *Family Day* program, and an assessment of screening, brief intervention and referral to treatment (SBIRT) options for adolescents. Several large-scale initiatives have focused on improving the effectiveness of medical, mental health and addiction provider delivery of evidence-based practices. Our SBIRT for adults program has been delivered to thousands of patients at Northwell Health. Our Managed Care Technical Assistance Center is helping numerous addiction treatment providers and organizations make the necessary changes for their detoxification, outpatient, residential, and medication-assisted treatment programs to succeed in a radically changed health care environment.

Several adolescent and parent program initiatives are training and evaluating provider delivery of family-based and medication treatments. In addition to releasing our landmark *Policymakers Guide* (mentioned in our Chairman's Message and described later), CASA's policy experts have completed evaluations of state insurance plans for addiction benefits and are now involved in major work with other organizations evaluating compliance with the federal laws that require comparable medical benefits for addiction treatment. Ongoing work in response to the opioid epidemic involves advocating for greater access to medication-assisted treatments for youth and adults.

I am proud to lead an organization that has the expertise and dedication to address the wide range of barriers that people at risk for or suffering from addiction experience as they try to improve their health. A focus on the family's role of communication and support remains vital to our work, as does our core goal of getting knowledge and tools into the hands of health care providers and policymakers. Although stigma may always surround the problem, our work remains essential to ensure that these biases do not get in the way of people seeking help, interfere with the delivery of effective treatments, or lead to discrimination against people who need help managing a life-threatening medical condition.



OUR CURRENT PROJECTS

SCIENCE

EVALUATING HEALTH CARE OUTCOMES AMONG ADOLESCENTS WITH ADHD & SUBSTANCE USE – The National Center on Addiction and Substance Abuse is conducting this study to help determine what treatment options for ADHD and co-occurring problems are best for which kinds of teens and families (considering ethnicity, gender, age and substance use). The study evaluates whether medication for ADHD provides a substantial improvement for adolescents over and above the educational support and family counseling options they usually receive in four different behavioral health clinics. *Funded by the Patient-Centered Outcomes Research Institute.*

BUILDING SUSTAINABLE SCREENING, BRIEF INTERVENTION AND REFERRAL TO TREATMENT (SBIRT) IN INTEGRATED HOSPITAL SYSTEMS IN NEW YORK – We are working with the New York State Office of Alcohol and Substance Abuse Services and Northwell Health to evaluate Screening, Brief Intervention and Referral to Treatment (SBIRT) in four emergency departments and three primary care practices. The goal is to build a sustainable model for incorporating SBIRT throughout the state. *Funded by Substance Abuse and Mental Health Services Administration.*

NYU-CUNY-CASA STUDY ON TOBACCO/NICOTINE USE AMONG YOUNG ADULTS – Our Center is collaborating with investigators from the City University of New York (CUNY) and New York University to implement a longitudinal study of traditional and alternative nicotine product use among young adults attending CUNY colleges and universities. We are also conducting qualitative research on the proximity, extensiveness and type of tobacco product marketing surrounding CUNY college campuses. *Funded by the Truth Initiative.*

TRANSLATIONAL RESEARCH TO INCREASE SERVICE ACCESS FOR NEW YORK STATE JUVENILE PROBATIONERS – This national multi-site study, coordinated by Columbia University in collaboration with The National Center on Addiction and Substance Abuse, tests how to successfully evaluate and treat substance use problems and HIV risk among adolescents who are involved in the juvenile justice system. *Funded by the National Institute on Drug Abuse (NIDA).*

IMPACT OF HEALTH HOMES IN NEW YORK STATE ON PEOPLE WITH SUBSTANCE USE DISORDERS – This study evaluates whether the New York State Health Homes program, a federally funded Medicaid program authorized by the Affordable Care Act, improves quality of care, reduces inefficient health care, and lowers costs among individuals with difficult-to-manage chronic medical conditions, including substance use disorders and HIV/AIDS. *Funded by NIDA.*

ENHANCING EVIDENCE-BASED HOME VISITING TO ADDRESS SUBSTANCE ABUSE AND MENTAL HEALTH – Our Center is partnering with state agencies in New Jersey to develop and test strategies for identifying substance use, depression and domestic violence among pregnant women and new mothers enrolled in home visiting programs, and improving their access to treatment. The study is evaluating whether implementation of standardized screening and referral procedures within home visiting programs will result in increased access to treatment. *Funded by NIDA.*

HIV/HEALTH HOMES – This study evaluates the impact of Health Homes and supportive housing on individuals who are enrolled in Medicaid and living with HIV/AIDS. We are investigating whether these coordinated services for homeless persons in New York improve adherence to HIV treatment, reduce overall health costs, and effect an increase in viral suppression. *Funded by NIDA.*

LOCAL QUALITY ASSURANCE TOOL FOR FAMILY THERAPY IN USUAL CARE FOR ADOLESCENT SUBSTANCE USE/CORE ELEMENTS OF FAMILY THERAPY – This project aims to enable community therapists to adopt effective family therapy practices outside of a research setting and discern if these techniques are practical and successful in the real world. The project will empirically identify and describe common, core, and fundamental family therapy techniques. The goal of the project is to garner a better understanding of effective family therapy for adolescent substance users and to produce a valid way to implement and assure quality treatment. *Funded by NIDA.*

CASA-YALE ADDICTION CENTER – The National Center on Addiction and Substance Abuse launched a major collaboration with the Yale Schools of Medicine and Public Health focused on supporting research and policy studies on behavioral addictions involving gambling and food, as well as drug addictions involving cocaine, opioids, marijuana, and nicotine. Initial projects include neuroimaging of various forms of addictive behaviors and diagnostic and treatment studies on food addiction, binge eating disorder, obesity, and youth substance abuse.

POLICY

GUIDE AND TOOLS FOR POLICYMAKERS – The National Center on Addiction and Substance Abuse completed its *Guide for Policymakers: Prevention, Early Intervention and Treatment of Risky Substance Use and Addiction*. This comprehensive guide offers concrete, science-based recommendations of unprecedented breadth and depth for improving how policymakers working in all levels of government and in the health care, education, justice, and social services systems can reduce addiction and risky substance use. We are producing and disseminating targeted materials for policymakers in the health, education, and justice fields to help encourage the implementation of the recommendations.

IMPROVING INSURANCE COVERAGE FOR ADDICTION – This work involved a comprehensive review of the substance use disorder benefits offered in a sampling of health insurance plans obtainable in each state's health insurance exchanges. We document widespread non-compliance with the requirements of the Affordable Care Act related to covering addiction treatment services. Follow-up work critiques the federal government's implementation and oversight of the essential health benefits requirement, which mandates that exchange plans cover a range of addiction treatment services at parity with medical/surgical services.

WHITE PAPER ON NICOTINE ADDICTION – Addiction involving nicotine is a chronic and relapsing disease. We completed and released our report, *Understanding and Addressing Nicotine Addiction: A Science-Based Approach to Policy and Practice*, which describes the prevalence of nicotine use and addiction, the effects of nicotine on the brain and body, risk factors for nicotine addiction, and the groups most at risk. It also describes current prevention and treatment efforts and the implications of this research for policymakers and health care providers.

WHITE PAPER ON FOOD ADDICTION – This report extends the focus of our Center from substance addictions to the behavioral addictions. *Understanding and Addressing Food Addiction: A Science-Based Approach to Policy, Practice and Research* reviews the available literature and evidence related to the elements of overeating or compulsive eating that might best be explained through the lens of addiction. The report also provides evidence-based recommendations for health care professionals and policymakers about how best to address this problem.

WHITE PAPER ON CHILDHOOD UNINTENTIONAL POISONING FROM ADDICTIVE SUBSTANCES – We are nearing completion of a report that examines unintentional poisonings and exposures from nicotine, alcohol, and other addictive drugs that occur during early childhood. This paper will highlight the significant but often overlooked risk of the toxic exposure through inadvertent handling or ingestion of substances found in the home. We are formulating concrete recommendations for families, health care providers, educators, and policymakers.



Models depicted.

MEDICATION-ASSISTED TREATMENT – Effective pharmacological therapies to treat opioid addiction are vastly underutilized for complex reasons related to patient decision making, poorly informed families, insufficient physician training or availability, and bias against their use by the criminal justice and health care systems. We are developing an opioid addiction information portal on our website and writing a report chronicling the history of the regulation of methadone, how policies restrict access and reimbursement, and how poor knowledge and attitudes contribute to stigma and discrimination. We have provided informal guidance to the Oversight and Investigations Subcommittee of The House Energy and Commerce Committee and to the New York City Attorney General's Office.

PUBLIC COMMENTS – Our Center provides feedback and advice to federal regulatory agencies in the form of public comments. This year we submitted comments to the U.S. Department of Housing and Urban Development regarding their proposed rule for instituting smoke-free public housing. We also submitted comments to the Centers for Medicare & Medicaid Services related to four separate proposals that affect insurance coverage for substance use disorder benefits: Proposed Rule Applying the Requirements of MHPAEA to Medicaid MCOs, ABPs, and CHIP Plans; Proposed Medicaid managed care rules; Proposed 2017 EHB-benchmark plans; and 2017 Benefit and Payment Parameters.

PRACTICE

MANAGED CARE TECHNICAL ASSISTANCE CENTER (MCTAC) – The CASA/MCTAC initiative delivers education and training to transform the system of care for substance use disorders within New York State's Medicaid Redesign. Funded by the New York State Office of Alcoholism and Substance Abuse Services (OASAS), and partnering with New York University's McSilver Institute, The National Center on Addiction and Substance Abuse brings expertise in implementation science and evidence-based practices for addiction to this initiative. Through the CASA/MCTAC training modules and products, OASAS will drive its model of a robust treatment system that provides ready access to person-centered, professional, and evidence-based care. *Funded by OASAS.*

LEVEL OF CARE FOR ALCOHOL AND DRUG TREATMENT REFERRAL (LOCADTR) – Our Center, in collaboration with the New York State Office of Alcoholism and Substance Abuse Services (OASAS), has launched a digital care determination tool that aids substance abuse treatment providers and managed care organizations in determining which treatment setting is best for an adult with a substance use disorder. *Funded by OASAS.*

LOCADTR FOR ADOLESCENTS – As an extension of our work implementing the level of care tool for adults, we are now developing a digital tool that will aid substance abuse treatment providers and managed care organizations in determining which treatment setting is best for an adolescent with a substance use disorder. This is the first project related to our clinical advisory leadership role on improving the adolescent treatment system in New York State. *Funded by OASAS.*

PATIENT GUIDE – We substantially revised our patient guide, which will help patients, family members and friends, health care professionals, and others find quality addiction treatment. The guide is based on the science of addiction and effective practices for treatment. We are marketing the guide to patients and health care providers.

FAMILY DAY AND PARENT EDUCATION – We developed a new strategy for our parent-focused communications, which will include redesigning the *Family Day* initiative as part of a broader, organization-wide prevention strategy. We also periodically receive requests from schools to speak to the parents in their community about teen substance use prevention. This year we gave presentations at schools, providing parental engagement tips from the book *How to Raise a Drug-Free Kid*.

DOH HEALTH HOMES EVALUATION – The New York State Department of Health contracted with our Center to conduct a comprehensive evaluation of its Medicaid Health Homes initiative. We analyze large administrative databases and work with multiple state agencies to examine the quality and efficiency of care for high needs populations, including those with HIV/AIDS, severe mental illness and substance use disorders. We will report on program effects as well as provide guidance on best practices. We are also collecting information on program structures and standards to identify implementation features related to better patient outcomes. *Funded by the New York State Department of Health.*



Model depicted.

CASA FAMILY DAY – BE INVOLVED. STAY INVOLVED.®

Family Day – Be Involved. Stay Involved.® was launched in 2001 by The National Center on Addiction and Substance Abuse. It is a national initiative to promote parental engagement as a means to preventing risky substance use in children and teens. Initially, *Family Day* started out as a grassroots initiative to inform parents about our research findings regarding the benefits of family dinners and has grown into a national movement that promotes parental engagement – effectively communicating and connecting with children. *Family Day* is supported by a network of partners and sponsors across the United States, including Mothers Against Drunk Driving (MADD), Community Anti-Drug Coalitions of America (CADCA), Partnership for Drug-Free Kids, and The Family Dinner Project.

In 2015, we reached thousands of parents looking for ways to be more engaged in their kids' lives through our partnerships with Coca-Cola, Waffle House Restaurants, Acosta, several Major League Baseball teams, and local community groups across the country. We also reached parents directly via our social media channels, our blog, and the CASA *Family Day* website. We continued our monthly 30-Day Family Fun Challenge, which included timely and fun activities for families to do together. We added more items for parents of teens and a kit for community groups to our *Family Day* Activity Kit. Additionally, mom bloggers partnered with *Family Day* to inform parents about the many benefits of parental engagement.

First Spouses in 25 states served as Honorary Chairs of *Family Day* and hosted three events, helping to raise awareness about the initiative in their states. Governor Patrick McCrory of North Carolina also hosted an event in his state to help raise awareness about *Family Day* among military families.

In 2015, we conducted a strategic planning initiative to re-envision the CASA *Family Day* program. In 2016 we will begin implementing our multi-year strategic plan for *Family Day*, which includes redesigning our *Family Day* website and materials, hosting a family dinner event with our new partner, The Family Dinner Project, and providing more science-based tips and tools from leading experts in risk prevention and early childhood development. We look forward to engaging with parents all across the country in even more new and exciting ways.

You can celebrate *Family Day* every day with your own family both at home and with those in your community. Visit us at www.CASAFamilyDay.org, or you can follow us on Facebook and Twitter to learn more.

Join the national celebration of *Family Day* 2016 on Monday, September 26th.

Special thanks to The Coca-Cola Company, Family Day's Presenting Sponsor, for partnering with Waffle House® Restaurants, who helped promote Family Day in their 1,800 restaurants.



Governor of North Carolina Patrick McCrory celebrates Family Day with military families.



Boys & Girls Club of Hollywood and Boys & Girls Club of East Los Angeles celebrate at the Los Angeles Dodgers' Family Day game.



For Love of Children celebrates at the Washington Nationals' Family Day game.

GETTING THE WORD OUT

In June 2015, The National Center on Addiction and Substance Abuse launched its first-ever Addiction Speaker Series. The monthly series features leading experts in the addiction and substance abuse field. The leaders invited to speak each month give riveting talks on their area of research. Some of the past hot topics have included: hookah, e-cigarettes, psychedelic drugs, sex, internet addiction, and gambling. The series has been well received in the addiction community, with audience attendance growing. People can also join the talk remotely through the webinar.

The Center released two major reports in 2015: 1) *Guide for Policymakers: Prevention, Early Intervention and Treatment of Risky Substance Use and Addiction* and 2) *Understanding and Addressing Nicotine Addiction: A Science-Based Approach to Policy and Practice*. These reports generated five original news stories combined and were highlighted on social media by such key influencers as: The Legal Action Center, The Community Technical Assistance Center, *Addiction Professional Magazine*, and The National Conference on Addiction Disorders.

The Center's website continues to deliver relevant content pertaining to addiction and substance abuse news. Its focus has been primarily on prevention, diagnosis and treatment for audiences including the general public, health care providers and policymakers. The website visits to centeronaddiction.org have increased by 150 percent when comparing 2014 to 2015. The Center plans to expand its website by launching educational content hubs containing valuable resources for readers on specific topics.



THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE IN THE NEWS

In 2015, CASA experts and their research continued to capture news headlines across the nation.

| | |
|--------------------|--|
| April 16, 2015 | VICE: "I Tried to Get Healthy and Keep Smoking Cigarettes" |
| August 16, 2015 | National Public Radio (NPR): "When Rehab Might Help an Addict – But Insurance Won't Cover It" |
| August 21, 2015 | The Wall Street Journal: "House Calls for Hangovers" |
| September 17, 2015 | Your Teen Magazine: "Ask the Expert: What's Up With Vaping" |
| November 3, 2015 | U.S. News & World Report: "What Reports About White People Dying Missed" |
| December 3, 2015 | Behavioral Health: "Policy Guide Outlines Addiction Roadmap" |

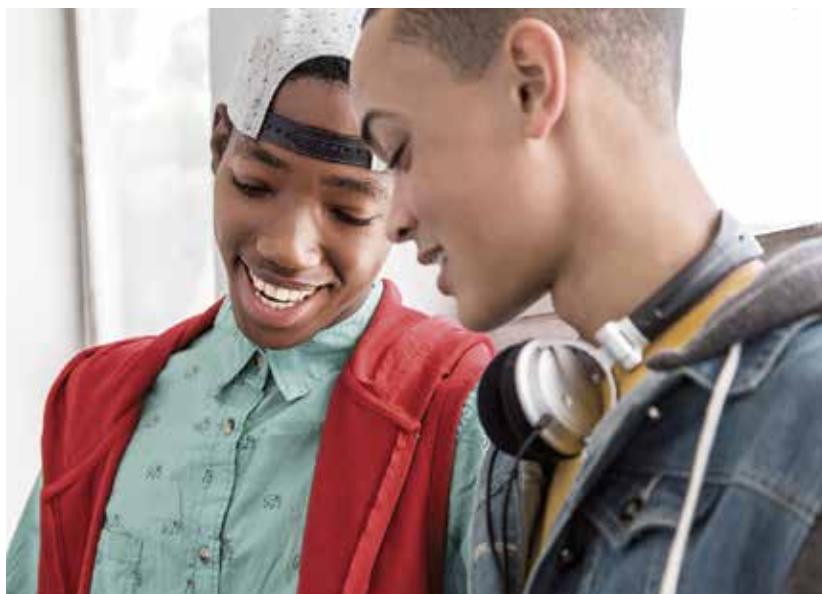
Models depicted.

We continued to see growth on our social media channels, which were launched in December 2013 and include Facebook, Google+, Twitter, YouTube and LinkedIn. The social media channels focus on promoting and sharing content about addiction and substance use. Key highlights from 2015 include a 397 percent growth in Facebook followers and a 154 percent growth in our Twitter followers.

Our blog, *The Buzz*, which launched in 2013, increased its readership. It provides a continuous stream of original and topical news on addiction policy, practice and popular culture. Our content has included interviews of the speakers from our Addiction Speaker Series and staff. It also tackles the coverage of serious issues surrounding addiction and substance use. This year, popular blog posts included:

- Dabbing: What You Need to Know About the Latest Marijuana Craze
- Dangerous New Drug Flakka Takes Florida by Storm
- Calorie Counts: Coming to a Cocktail Near You
- Secondhand Smoke Rates Decline Overall, But Risks Remain High for Some
- Are the Flavors in E-Cigarettes/E-Liquids Safe? Scientists Are Warning Maybe Not

Last year, we launched our monthly email feature called "The Monthly Roundup," which allows readers to view all of the blogs published in that month and take the monthly poll called the Pulse, which asks readers their thoughts on relevant and timely addiction and substance-use related questions.



OUR 23RD ANNIVERSARY AWARDS DINNER

We hosted our 23rd annual anniversary dinner on May 6, 2015. Kenneth I. Chenault and Frank Bisignano were honored for their philanthropic and community leadership in health and education. The dinner, held at The Pierre Hotel, raised more than \$1.6 million.

Chairman Jeff Lane addressed the guests. "Well, the good news is in 2014 fewer high school students drank, smoked and used drugs than they did in previous years. Put another way, fewer adolescents are on the path toward addiction. But there's still a long way to go. And we still have a lot of work to do. And that's what we're doing here at our Center. We are dedicated to developing effective solutions to address substance use and addiction. We are committed to understanding the science of addiction and translating this science into health care practice, public policy and public education."

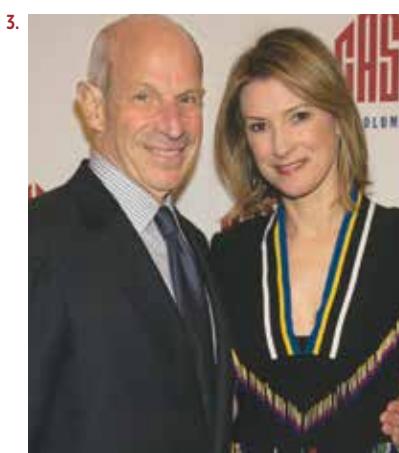
Kenneth Chenault said in receiving the CASA Distinguished Service Award, "...CASA works on behalf of millions of people – people who are addicted to drugs or alcohol in our society. There is no greater tragedy than people who cannot realize their potential. And that's what CASA is all about – enabling people to overcome obstacles and hurdles and realize their potential...."

Frank Bisignano, in receiving his award, said, "...When I look at the facts...it tells you what CASA is working on is one of the biggest issues here in this country, and our ability to impact that with the leadership of Dr. Ball and the fabulous Board is something we should all be committed to."

Elizabeth Vargas, co-anchor of *ABC News 20/20*, served as master of ceremonies.

Photos by Eric Weiss Photography

1. Master of ceremonies Elizabeth Vargas **2.** Joseph Califano and Kenneth Chenault **3.** Jonathan and Lizzie Tisch **4.** Karen and Manuel Pacheco **5.** Clyde Tuggle and Coca-Cola colleagues **6.** Columba and Jeb Bush **7.** Jeff Lane, Zee Wiener and Sam Ball **8.** Hilary and Joseph Califano, Nancy and Jeff Lane, Elizabeth and Sam Ball **9.** Joseph Califano, Emma and David Rhodes **10.** Joseph Califano and Ursula Burns **11.** James and Kathryn Ramstad **12.** Joseph Plumeri and Frank Bisignano



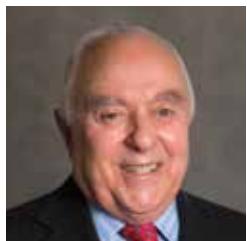


OUR EXECUTIVE LEADERSHIP



Jeffrey B. Lane

Chairman of the Board. Mr. Lane has enjoyed a distinguished career in the financial field. He is the Chairman of the Board of Lebenthal Holdings LLC. Prior positions include Chairman and CEO of Neuberger Berman, President and Chief Operating Officer of Shearson Lehman, and Vice Chairman of Lehman Brothers and of Travelers Group. He is a graduate of New York University and holds an MBA from Columbia University's Graduate School of Business. Mr. Lane currently serves as a Director of Northwell Health.



Joseph A. Califano, Jr., LLB

CASA's Founder, Chairman Emeritus and Senior Advisor to the President and CEO. Mr. Califano served as President Johnson's chief assistant for domestic affairs from 1965 to 1969 and as Secretary of Health, Education, and Welfare from 1977 to 1979. He practiced law in Washington, D.C., and New York until 1992, when he founded CASA. He is the author of fourteen books and a member of the National Academy of Science's Institute of Medicine. The 2014 edition of his book *How to Raise a Drug-Free Kid: The Straight Dope for Parents*, offers practical, user-friendly advice and information to parents. His book, *The Triumph and Tragedy of Lyndon Johnson: The White House Years*, was republished with a new introductory essay in 2015.



Samuel A. Ball, PhD

President and CEO. Dr. Ball is the President and Chief Executive Officer of CASA. He is also Professor of Psychiatry at the Yale University School of Medicine, where he serves as Assistant Chair for Education and Career Development. Dr. Ball has been principal investigator or co-investigator on numerous National Institute on Drug Abuse (NIDA)-funded grants and led several research and training programs at Yale. In addition, he has directed various clinical and research programs within The APT Foundation, a non-profit agency serving addicted persons in Connecticut.



Susan P. Brown

Vice President and Director of Finance and Administration, and Secretary-Treasurer. Ms. Brown is responsible for overseeing day-to-day operations of the office including fiscal management, grants and contracts administration, payroll, benefits, accounts payable, library and computer systems. In addition, she acts as liaison with the Board of Directors and the Board's Audit and Investment Committees. Ms. Brown served as Director of Administration for the Washington office of the law firm of Dewey Ballantine for nine years prior to joining the Center. She has also been Director of Administration for the law firms of Califano, Ross & Heineman and Cohen and Uretz.

OUR BOARD OF DIRECTORS



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Clyde C. Tuggle
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Elizabeth Vargas
Co-anchor, ABC News' "20/20"

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Research Associate



Cherine Akkari, MS
Research Associate



Samuel A. Ball, PhD
President and CEO



Camila Bernal, MPH
Research Associate



Molly Bobek, LCSW
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FINANCIAL STATEMENT

The National Center on Addiction and Substance Abuse Balance Sheet as of December 31, 2015 and 2014

| ASSETS | 2015 | 2014 | | |
|--|---------------------|------------------------|---------------------|---------------------|
| Cash and cash equivalents | \$620,123 | \$1,023,541 | | |
| Grants and contributions receivable, net | 3,525,661 | 2,641,564 | | |
| Prepaid expenses and other assets | 351,249 | 251,030 | | |
| Investments | 49,323,297 | 54,027,348 | | |
| Property and equipment, net | 8,945,936 | 9,231,046 | | |
| TOTAL ASSETS | \$62,766,266 | \$67,174,529 | | |
| | | | | |
| LIABILITIES | | | | |
| Accounts payable and accrued expenses | \$1,856,201 | \$1,135,495 | | |
| Deferred revenue | 691,150 | 696,142 | | |
| Bonds payable | 14,000,000 | 14,000,000 | | |
| TOTAL LIABILITIES | \$16,547,351 | \$15,831,637 | | |
| TOTAL NET ASSETS | \$46,218,915 | \$51,342,892 | | |
| | | | | |
| DETAIL OF NET ASSETS | UNRESTRICTED | TEMPORARILY RESTRICTED | 2015 | 2014 |
| Operating funds: | | | | |
| Available for operations | \$4,603,107 | | \$4,603,107 | \$5,512,477 |
| Program services | | \$1,205,767 | 1,205,767 | 841,089 |
| Future periods | | 491,412 | 491,412 | 714,509 |
| The Joseph A. Califano, Jr. | | | | |
| Institute for Applied Policy | 9,098,631 | 2,880,376 | 11,979,007 | 11,593,021 |
| Program Concentration Fund | 27,939,622 | | 27,939,622 | 32,681,796 |
| TOTAL NET ASSETS | \$41,641,360 | \$4,577,555 | \$46,218,915 | \$51,342,892 |

OUR ACADEMIC AND COMMUNITY PARTNERSHIPS

The National Center on Addiction and Substance Abuse continues to build its academic collaborations with Yale University, Northwell Health, New York University, the City University of New York and Columbia University.

The **Yale-CASACollaborative** launched three areas of research:

- 1) neuroimaging of addictive behaviors in adolescents and adults, led by Drs. Marc Potenza and Sarah Yip;
- 2) food addiction correlates and bariatric surgery outcomes, led by Drs. Carlos Grilo and Valentina Ivezaj;
- 3) youth buprenorphine treatment for opioid addiction at The APT Foundation, led by Drs. Kathleen Carroll, Deepa Camenga and Samuel Ball.

The **NYU-CUNY-CASACollaborative** completed a baseline survey of traditional and alternative (e-cigarette, hookah) tobacco product use in CUNY students, the enforcement of tobacco-free campus policies, and the influence of surrounding neighborhood advertising. This work is led by Drs. Scott Sherman, Nick Freudenberg, Donna Shelley, Azure Thompson and Samuel Ball.

We are collaborating with **NYU**, providing substance abuse provider and program training and technical assistance through our Managed Care Technical Assistance led by Dr. Charles Neighbors and Carla Lisio.

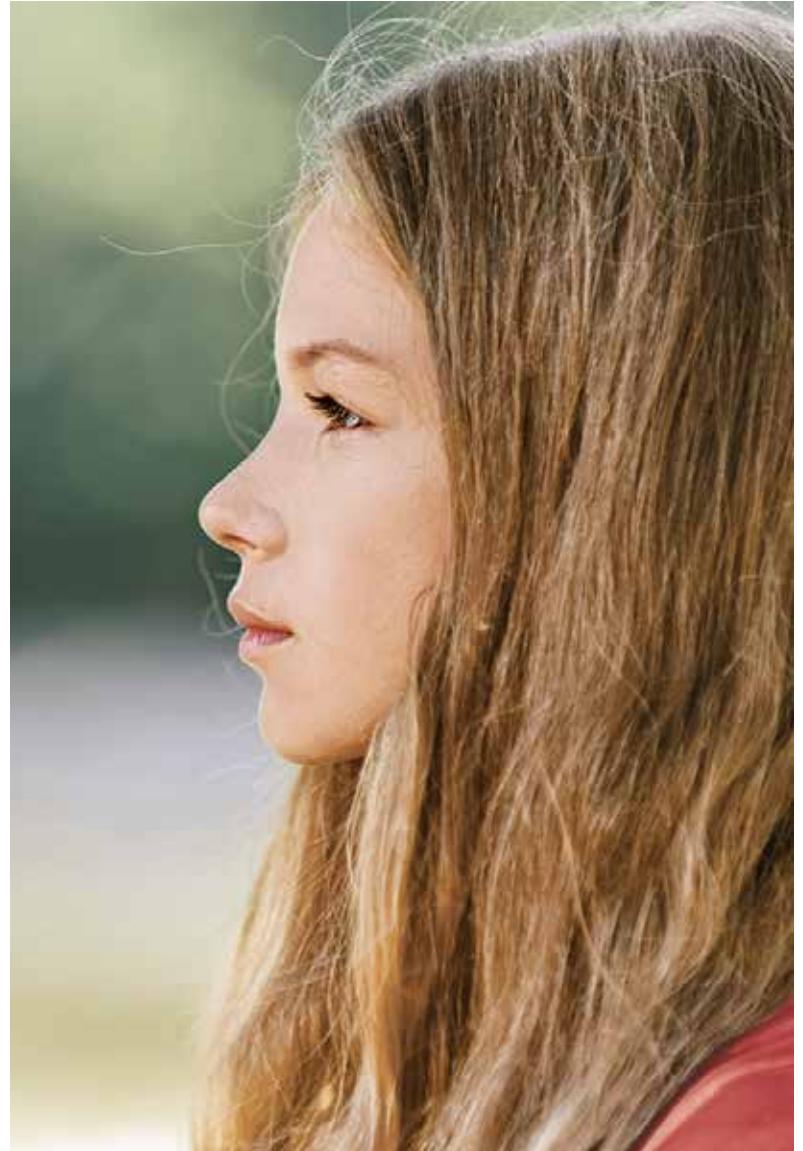
We plan to launch a new study at **Bellevue Hospital** with **Yale** and **NYU** faculty, evaluating predictive factors for bariatric surgery outcome and relapse to substance use and excessive eating.

We continue our long collaborative relationship with **Columbia University Medical Center** through a research project on a juvenile justice provider training led by Drs. Gail Wasserman and Aaron Hogue.

Our major health care collaboration with **Northwell Health** has implemented screening, brief intervention and referral to treatment to thousands of primary care and emergency room patients and has been led by Drs. Jon Morgenstern, Megan O'Grady and Sandeep Kapoor.

We are launching a four-organization alliance with our Center, **Partnership for Drug-Free Kids, Legal Action Center and Treatment Research Institute** through a joint project analyzing the enforcement of insurance parity for those seeking treatment for addiction.

In collaboration with **Prevent Child Abuse New Jersey**, Dr. Sarah Dauber is completing a home-based intervention study with perinatal women. Drs. Aaron Hogue and Jackie Fisher are comparing treatments for attention deficit hyperactivity disorder at **South Oaks Hospital, Outreach, Roberto Clemente Family Guidance Center and CUMC-CHONY 6**.



Model depicted.

SELECTED CENTER PUBLICATIONS (PAST 3 YEARS)

All publications from The National Center on Addiction and Substance Abuse are available through our website, www.centeronaddiction.org. For more information, call (212) 841-5200.

- *Guide for Policymakers: Prevention, Early Intervention and Treatment of Risky Substance Use and Addiction.* 2015.
- *Understanding and Addressing Nicotine Addiction: A Science-Based Approach to Policy and Practice.* 2015.
- *Time to Ban Menthol.* 2014.
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